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COMMUTATOR CHARACTERIZATION OF PERIODIC PSEUDODIFFERENTIAL OPERATORS

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Abstract: *We show in a novel way that periodic pseudodifferential operators are pseudodifferential operators in Hörmander's definition. In our approach, commutators à la Beals, Dunau, Coifman and Meyer on $\mathbb{R}^n$ and on closed manifolds are involved.*

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1 Introduction

Pseudodifferential operators are a natural generalization of linear partial differential operators on $\mathbb{R}^n$. These operators act on a suitable test function space by weighing the Fourier transform "nicely".

In a series of path-breaking papers in the 1960's, Hörmander set the study of pseudodifferential operators on a solid basis, and pseudodifferential operators on manifolds were defined locally using the Fourier integrals on $\mathbb{R}^n$. In 1979 Agranovich [1] proposed, crediting L. R. Volevich, a global definition of pseudodifferential operators on the unit circle $\mathbb{S}^1$, called the periodic pseudodifferential operators. Of course, the definition was readily generalizable for any torus $\mathbb{T}^n$. Due to the group structure of $\mathbb{T}^n$, by exploiting the Fourier series representation these new operators admitted globally defined symbols instead of mere local analysis.

It is a non-trivial fact, however, that the definitions of pseudodifferential operators on a torus given by Agranovich and Hörmander are equivalent. Agranovich proved this in [2] in the special case of classical operators, and later without some details in [3] in the case of the Hörmander $(1,0)$-operators. Another treatise of the classical operators was presented in [15]. A complete proof was provided by McLean [13] for all the Hörmander (ρ,δ)-classes. McLean proved the equivalence of the global and local definitions by directly studying the charts of the tori. Recently, another proof of this type was given in [14] for the $(1,0)$-class. In this paper, we give one more approach, described as follows:

On a smooth closed manifold the pseudodifferential operators can be characterized by taking commutators with vector fields, i.e. first order partial derivatives. This approach is due to Beals ([4], 1977), Dunau ([8], 1977), and Coifman and Meyer ([6], 1978); perhaps the first ones to consider these kinds of commutator properties were Calderón and his school [5]. For other contributions, see also [7] and [18].

The commutators provide us a new, quite simple way of proving the equivalence of local and global definitions of pseudodifferential operators on a torus, and we derive related commutator characterizations for operators of general order on the scale of Sobolev spaces.

The structure of the paper is following: First, we review necessary pseudodifferential calculus on $\mathbb{R}^n$, obtaining a commutator characterization of local pseudodifferential operators (Theorem 2.1). After that, corresponding global characterization is given on closed manifolds (Theorem 3.1). Lastly, the global symbol analysis of periodic pseudodifferential operators is studied in analogy with the symbol analysis on $\mathbb{R}^n$, and these operators are proven to be precisely the Hörmander pseudodifferential operators on $\mathbb{T}^n$ (Theorem 4.2).

2 Pseudodifferential operators on $\mathbb{R}^n$

In this section we refresh some necessary background on pseudodifferential analysis on Euclidean spaces (see [17], [19], [11] or [16] for more information). The commutator characterization of local pseudodifferential operators on $\mathbb{R}^n$ provided by Theorem 2.1 is needed in the next section for the commutator characterization result on closed manifolds.

Let $\mathcal{S}(\mathbb{R}^n)$ be the Schwartz test function space of smooth rapidly decreasing functions on $\mathbb{R}^n$ with the usual Fréchet space structure. Its dual $\mathcal{S}'(\mathbb{R}^n) = \mathcal{L}(\mathcal{S}(\mathbb{R}^n), \mathbb{C})$ is the space of tempered distributions. The Fourier transform $\mathcal{F} \in \mathcal{L}(\mathcal{S}(\mathbb{R}^n))$ is an isomorphism given by

$$\hat{u}(\xi) = (\mathcal{F}u)(\xi) = \int_{\mathbb{R}^n} u(x) e^{-ix\cdot\xi} dx,$$

where $\xi \in \mathbb{R}^n$.

By duality, the Fourier transform extends to isomorphism $\mathcal{F} \in \mathcal{L}(\mathcal{S}'(\mathbb{R}^n))$, and we consider $\mathcal{S}(\mathbb{R}^n)$ as a natural subspace of $\mathcal{S}'(\mathbb{R}^n)$. The Sobolev space $H^s(\mathbb{R}^n) \subset \mathcal{S}'(\mathbb{R}^n)$ $(s \in \mathbb{R})$ is the completion of $\mathcal{S}(\mathbb{R}^n)$ in the Sobolev norm $\|\cdot\|_{H^s(\mathbb{R}^n)}$ corresponding to the Sobolev inner product $(\cdot,\cdot)_{H^s(\mathbb{R}^n)}$ defined by

$$(u, v)_{H^s(\mathbb{R}^n)} = (2\pi)^{-n} \int_{\mathbb{R}^n} (1 + |\xi|)^{2s} \hat{u}(\xi)\overline{\hat{v}(\xi)} d\xi,$$

where $|\xi| = (|\xi_1|^2 + \cdots + |\xi_n|^2)^{1/2}$. Then $\mathcal{S}(\mathbb{R}^n) = \bigcap_{s\in\mathbb{R}} H^s(\mathbb{R}^n)$ and $\mathcal{S}'(\mathbb{R}^n) = \bigcup_{s\in\mathbb{R}} H^s(\mathbb{R}^n)$. A linear operator $A : \mathcal{S}(\mathbb{R}^n) \to \mathcal{S}(\mathbb{R}^n)$ is said to be of order (or degree) $m \in \mathbb{R}$ on the Sobolev scale $(H^s(\mathbb{R}^n))_{s\in\mathbb{R}}$, if it has bounded extensions $A_{s,s-m} \in \mathcal{L}(H^s(\mathbb{R}^n), H^{s-m}(\mathbb{R}^n))$ for every $s \in \mathbb{R}$. In this case, the extension is unique in the sense that A has the extension $A_{\mathcal{S}'} \in \mathcal{L}(\mathcal{S}'(\mathbb{R}^n))$ satisfying $A_{\mathcal{S}'}|_{H^s(\mathbb{R}^n)} = A_{s,s-m}$. Thereby any of the operators $A_{s,s-m}$ or $A_{\mathcal{S}'}$ is also denoted by A.

The symbol σ_A of a linear operator A of a finite order is the distribution

$$\sigma_A(x, \xi) = e^{-ix\cdot\xi} (Ae_\xi)(x), \tag{1}$$

where $e_\xi(x) = e^{ix\cdot\xi}$, and $x, \xi \in \mathbb{R}^n$. Then A can be retrieved from its symbol. Indeed, let $u \in \mathcal{S}(\mathbb{R}^n)$ and fix $s < -n/2$. The function $\psi : \mathbb{R}^n \to H^s(\mathbb{R}^n)$, $\psi(\xi) = e_\xi$, is Bochner-integrable (see [9]) with respect to $(2\pi)^{-n}\hat{u}(\xi)d\xi$, and therefore

$$(Au)(x) = (2\pi)^{-n} \int_{\mathbb{R}^n} \sigma_A(x, \xi)\hat{u}(\xi)e^{ix\cdot\xi} d\xi. \tag{2}$$

Distribution Au can be viewed as a σ_A-weighted inverse Fourier transform of $\hat{u}$. Unfortunately, the algebra of the finite order operators on the Sobolev scale is too large to admit fruitful symbol analysis, while the non-trivial restrictions by the symbol inequalities (3) yield a well-behaving subalgebra, called pseudodifferential operators.

An operator $A : \mathcal{S}(\mathbb{R}^n) \to \mathcal{S}(\mathbb{R}^n)$ of order $m \in \mathbb{R}$ is called a pseudodifferential operator of order (or degree) m on $\mathbb{R}^n$, denoted by $A \in \mathrm{Op}S^m(\mathbb{R}^n)$, if

its symbol given by (1) is a C^∞-function $\sigma_A : \mathbb{R}^n \times \mathbb{R}^n \to \mathbb{C}$ satisfying the symbol inequalities

$$\left|\partial_\xi^\alpha \partial_x^\beta \sigma_A(x,\xi)\right| \leq C_{\alpha\beta}(1+|\xi|)^{m-|\alpha|}, \tag{3}$$

uniformly in ξ and x for every multi-index $\alpha, \beta \in \mathbb{N}_0^n$. Here $\mathbb{N}_0$ is the set of the non-negative integers including 0, $|\alpha| = \alpha_1 + \cdots + \alpha_n$, $\partial_\xi^\alpha = (\partial/\partial_{\xi_1})^{\alpha_1} \cdots (\partial/\partial_{\xi_n})^{\alpha_n}$ and $\partial_x^\beta = (\partial/\partial_{x_1})^{\beta_1} \cdots (\partial/\partial_{x_n})^{\alpha_n}$. Then σ_A is called a symbol of order (or degree) m on $\mathbb{R}^n$, $\sigma_A \in S^m(\mathbb{R}^n)$.

Actually, in the definition of pseudodifferential operators, it is redundant to require the operators to be of finite order: if σ_A is any smooth function satisfying inequalities (3), the operator A which it defines by (2) is necessarily of order m.

The class of pseudodifferential operators just defined contains only those of the partial differential operators that have bounded smooth coefficients. Therefore it is often more convenient to work with a less restricted class. Let $C_0^\infty(\mathbb{R}^n)$ denote the set of compactly supported smooth functions. A linear operator A defined on $C_0^\infty(\mathbb{R}^n)$ is called a local pseudodifferential operator of order $m \in \mathbb{R}$ on $\mathbb{R}^n$, $A \in \mathrm{Op}S^m_{loc}(\mathbb{R}^n)$, if $\phi A\psi \in \mathrm{Op}S^m(\mathbb{R}^n)$ for every $\phi, \psi \in C_0^\infty(\mathbb{R}^n)$. Naturally, here $((\phi A\psi)u)(x) = \phi(x)A(\psi u)(x)$.

In addition to the symbol inequalities (3), there is another appealing way of characterizing pseudodifferential operators, namely via commutators. This characterization dates back to [4] by Beals, to [8] by Dunau, and to [6] by Coifman and Meyer. We present a related result, Theorem 2.1, about local pseudodifferential operators.

Let us define the commutators $L_j(A) = [\partial_{x_j}, A]$ and $R_k(A) = [A, M_{x_k}]$, where M_{x_k} is the multiplication operator $(M_{x_k} f)(x) = x_k f(x)$. Set $R^\alpha = R_1^{\alpha_1} \cdots R_n^{\alpha_n}$ and accordingly $L^\beta = L_1^{\beta_1} \cdots L_n^{\beta_n}$ for multi-indices α, β, with convention $L_j^0 = I = R_k^0$.

Theorem 2.1 *Let* $\deg(C)$ *denote the degree of a partial differential operator* C *on* $\mathbb{R}^n$. *Let* $m \in \mathbb{R}$ *and let* A *be a linear operator defined on* $C_0^\infty(\mathbb{R}^n)$. *Then the following conditions are equivalent:*

(*i*) $A \in \mathrm{Op}S^m_{loc}(\mathbb{R}^n)$.

(*ii*) *For any* $\phi, \psi \in C_0^\infty(\mathbb{R}^n)$, *for any* $s \in \mathbb{R}$ *and for any sequence* $\mathcal{C} = (C_j)_{j=0}^\infty \subset \mathrm{Op}S^1_{loc}(\mathbb{R}^n)$ *of partial differential operators, it holds that*

$$\begin{cases} B_0 = \phi A\psi \in \mathcal{L}(H^s(\mathbb{R}^n), H^{s-m}(\mathbb{R}^n)), \\ B_{k+1} = [B_k, C_k] \in \mathcal{L}(H^s(\mathbb{R}^n), H^{s-m+d_{\mathcal{C},k}}(\mathbb{R}^n)), \end{cases}$$

where $d_{\mathcal{C},k} = \sum_{j=0}^k (1 - \deg(C_j))$.

(*iii*) *For any* $\phi, \psi \in C_0^\infty(\mathbb{R}^n)$, *for any* $s \in \mathbb{R}$ *and for every* $\alpha, \beta \in \mathbb{N}_0$, *it holds that*

$$R^\alpha L^\beta(\phi A\psi) \in \mathcal{L}(H^s(\mathbb{R}^n), H^{s-(m-|\alpha|)}(\mathbb{R}^n)).$$

Remark. At first sight, condition (ii) in Theorem 2.1 may seem awkward, at least when compared to condition (iii). However, this result will be needed in the pseudodifferential analysis on manifolds, and it is crucial in the proof of Theorem 3.1. Also notice the similarities in the formulations of Theorems 2.1 and 3.1, and in the proofs of Theorems 2.1 and 4.2.

Proof. First, let $A \in \mathrm{Op}S^m_{loc}(\mathbb{R}^n)$, and fix $\phi, \psi \in C_0^\infty(\mathbb{R}^n)$. Then $B_0 = \phi A \psi \in \mathrm{Op}S^m(\mathbb{R}^n)$. Let $\chi \in C_0^\infty(\mathbb{R}^n)$ be such that $\chi(x) = 1$ in a neighbourhood of the compact set $\mathrm{supp}(\phi) \cup \mathrm{supp}(\psi) \subset \mathbb{R}^n$, so that $B_{k+1} = [B_k, C_k] = [B_k, \chi C_k]$. Notice that $\chi C_k \in \mathrm{Op}S^{\deg(C_k)}(\mathbb{R}^n)$. Hence by induction and by the well-known property of the commutators of pseudodifferential operators (see e.g. [11]), it follows that $B_{k+1} \in \mathrm{Op}S^{m-d_{\mathbb{C},k}}(\mathbb{R}^n)$. This proves implication $(i) \Rightarrow (ii)$.

It is really trivial that (ii) implies (iii).

Then assume (iii), and fix $\phi, \psi \in C_0^\infty(\mathbb{R}^n)$; we have to prove that $\phi A \psi \in \mathrm{Op}S^m(\mathbb{R}^n)$. Let $\chi \in C_0^\infty(\mathbb{R}^n)$ be such that $\chi(x) = 1$ in a neighbourhood of the compact set $\mathrm{supp}(\phi) \cup \mathrm{supp}(\psi) \subset \mathbb{R}^n$. Evidently, $\phi A \psi$ is of order m, and

$$\begin{aligned} \partial_\xi^\alpha \partial_x^\beta \sigma_{\phi A \psi}(x,\xi) &= \sigma_{R^\alpha L^\beta(\phi A \psi)}(x,\xi) \\ &= e^{-ix\cdot\xi}(R^\alpha L^\beta(\phi A \psi) e_\xi)(x) \\ &= e^{-ix\cdot\xi}(R^\alpha L^\beta(\phi A \psi)(\chi e_\xi))(x). \end{aligned}$$

If $2s > n = \dim(\mathbb{R}^n)$, $s \in \mathbb{N}$, then

$$\begin{aligned} |u(x)| &\leq (2\pi)^{-n} \int_{\mathbb{R}^n} |\hat{u}(\xi)| d\xi \\ &\leq (2\pi)^{-n} \left[\int_{\mathbb{R}^n} (1+|\xi|)^{-2s} d\xi \right]^{1/2} \left[\int_{\mathbb{R}^n} (1+|\xi|)^{2s} |\hat{u}(\xi)|^2 d\xi \right]^{1/2} \\ &= C_s \|u\|_{H^s(\mathbb{R}^n)} \leq C_s \left(\sum_{|\gamma| \leq s} \|\partial_x^\gamma u\|^2_{H^0(\mathbb{R}^n)} \right)^{1/2}, \end{aligned}$$

so that

$$\begin{aligned} |\partial_\xi^\alpha \partial_x^\beta \sigma_{(\phi A \psi)_\kappa}(x,\xi)| &\leq C \left(\sum_{|\gamma| \leq s} \|\partial_\xi^\alpha \partial_x^{\beta+\gamma} \sigma_{\phi A \psi}(\cdot,\xi)\|^2_{H^0(\mathbb{R}^n)} \right)^{1/2} \\ &= C \left(\sum_{|\gamma| \leq s} \|e_{-\xi} \left(R^\alpha L^{\beta+\gamma}(\phi A \psi) \right) (\chi e_\xi)\|^2_{H^0(\mathbb{R}^n)} \right)^{1/2} \\ &\leq C \left(\sum_{|\gamma| \leq s} \|e_{-\xi}\|^2_{\mathcal{L}(H^0)} \|R^\alpha L^{\beta+\gamma}(\phi A \psi)\|^2_{\mathcal{L}(H^{m-|\alpha|}, H^0)} \|\chi e_\xi\|^2_{H^{m-|\alpha|}} \right)^{1/2}. \end{aligned}$$

By the Peetre inequality

$$\forall s \in \mathbb{R}\ \forall \eta, \xi \in \mathbb{R}^n : (1+|\eta+\xi|)^s \leq 2^{|s|}(1+|\eta|)^{|s|}(1+|\xi|)^s, \qquad (4)$$

it holds that

$$\begin{aligned}\|\chi e_\xi\|_{H^{m-|\alpha|}(\mathbb{R}^n)} &= \left(\int_{\mathbb{R}^n}(1+|\eta|)^{2(m-|\alpha|)}\,|\widehat{\chi e_\xi}(\eta)|^2\,d\eta\right)^{1/2}\\ &= \left(\int_{\mathbb{R}^n}(1+|\eta+\xi|)^{2(m-|\alpha|)}\,|\widehat{\chi}(\eta)|^2\,d\eta\right)^{1/2}\\ &\leq 2^{|m-|\alpha||}\|\chi\|_{H^{|m-|\alpha||}(\mathbb{R}^n)}(1+|\xi|)^{m-|\alpha|}.\end{aligned}$$

Hence

$$|\partial_\xi^\alpha\partial_x^\beta\sigma_{(\phi A\psi)_\kappa}(x,\xi)|\leq C_{\alpha\beta,\phi,\psi}(1+|\xi|)^{m-|\alpha|},$$

and consequently $A\in \mathrm{Op}S^m_{loc}(\mathbb{R}^n)$. Thus (i) is obtained from (iii). □

3 Pseudodifferential operators on closed manifolds

In this section we consider the pseudodifferential calculus on manifolds ([17], [19], [11], [3]), and the main result is Theorem 3.1 about the commutator characterization (cf. Theorems 2.1 and 4.2), which was stated by Coifman and Meyer [6] in the case of 0-order operators on $L^2(M)$ (see also [8] for a kindred treatise). This will be applied in the final part of this paper concerning periodic pseudodifferential operators. The differential geometry needed in the study is quite simple, sufficient general reference being any text book in the field, e.g. [10].

A compact manifold without boundary is called closed, and throughout this section, M is a closed smooth orientable manifold. $C^\infty(M)$ is the set of smooth complex-valued functions on M, and $C_0^\infty(U)$ is the set of smooth functions with compact supports in an open set $U\subset M$. If $A: C^\infty(M)\to C^\infty(M)$ and $\phi,\psi\in C^\infty(M)$, we define the operator $\phi A\psi: C^\infty(M)\to C^\infty(M)$ by $((\phi A\psi)u)(x)=\phi(x)\cdot A(\psi\cdot u)(x)$.

If (U,κ) is a chart on M, the κ-transfer $A_\kappa: C^\infty(\kappa(U))\to C^\infty(\kappa(U))$ of an operator $A: C^\infty(U)\to C^\infty(U)$ is defined by

$$A_\kappa u = A(u\circ\kappa)\circ\kappa^{-1}.$$

Similarly, the κ-transfer of a function ϕ is $\phi_\kappa=\phi\circ\kappa^{-1}$. Notice that the transfer of a commutator is the commutator of transfers: $[A,B]_\kappa=[A_\kappa,B_\kappa]$.

Pseudodifferential operators on the manifold M in the Hörmander sense are defined as follows: a linear operator $A: C^\infty(M)\to C^\infty(M)$ is a pseudodifferential operator of order (or degree) $m\in\mathbb{R}$ on M, if for every chart (U,κ) and for any $\phi,\psi\in C_0^\infty(U)$, the operator $(\phi A\psi)_\kappa$ is a pseudodifferential operator of order m on $\mathbb{R}^n$. It is known that the class of pseudodifferential operators of order m on $\mathbb{R}^n$ is diffeomorphism invariant, implying that the corresponding class on M is well-defined. We denote the set of pseudodifferential operators of order m on M by $\Psi^m(M)$.

Let $\mathrm{Diff}(M)$ be the $*$-algebra

$$\mathrm{Diff}(M) = \bigcup_{k=0}^{\infty} \mathrm{Diff}^k(M),$$

where $\mathrm{Diff}^k(M)$ is the set of at most kth order partial differential operators on M with smooth coefficients. Here, $\mathrm{Diff}^0(M) \cong C^\infty(M)$, and $\mathrm{Diff}^1(M) \setminus \mathrm{Diff}^0(M)$ corresponds to the non-trivial smooth vector fields on M, i.e. the non-trivial smooth sections of the tangent bundle TM.

A differential operator $D \in \mathrm{Diff}(M)$ defines a seminorm p_D on $C^\infty(M)$ by $p_D(u) = \sup_{x \in M} |(Du)(x)|$. The seminorm family $\{p_D : C^\infty(M) \to \mathbb{R} \mid D \in \mathrm{Diff}(M)\}$ induces a Fréchet space structure on $C^\infty(M)$. This test function space is denoted by $\mathcal{D}(M)$, and the distributions by $\mathcal{D}'(M) = \mathcal{L}(\mathcal{D}(M), \mathbb{C})$.

The Sobolev space $H^s(M)$ ($s \in \mathbb{R}$) is the set of those distributions $u \in \mathcal{D}'(M)$ such that $(\phi u)_\kappa \in H^s(\mathbb{R}^n)$ for every chart (U, κ) on M and for every $\phi \in C_0^\infty(U)$ (see [12], [11], [17]). Then equalities $C^\infty(M) = \bigcap_{s \in \mathbb{R}} H^s(M)$ and $\mathcal{D}'(M) = \bigcup_{s \in \mathbb{R}} H^s(M)$ hold. Let $\mathcal{U} = \{(U_j, \kappa_j)\}$ be a cover of M with charts. Due to the compactness of M, we can require the cover to be finite. Fix a smooth partition of unity $\{(U_j, \phi_j)\}$ with respect to the cover $\mathcal{U}$. Then equip the Sobolev space $H^s(M)$ with the norm

$$\|u\|_{H^s(M),\{(U_j,\kappa_j,\phi_j)\}} = \left(\sum_j \|(\phi_j u)_{\kappa_j}\|^2_{H^s(\mathbb{R}^n)} \right)^{1/2}.$$

In fact, any other choice of U_j, κ_j, ϕ_j would have resulted to an equivalent norm. Moreover, $H^s(M)$ is a Hilbert space. A linear operator A on $C^\infty(M)$ is said to be of order (or degree) $m \in \mathbb{R}$ on M, if it extends boundedly between $H^s(M)$ and $H^{s-m}(M)$ for every $s \in \mathbb{R}$. Thereby operator A has also the continuous extension $A_{\mathcal{D}'} : \mathcal{D}'(M) \to \mathcal{D}'(M)$. As it is in the case of $\mathbb{R}^n$, any of these extensions coincide in their mutual domains, so that it is meaningful to denote any one of them by A.

Naturally, $D \in \mathrm{Diff}^k(M)$ is of order (degree) $\deg(D) = k$. Recall that algebra $\mathrm{Diff}(M)$ has the well-known "almost-commuting property",

$$[\mathrm{Diff}^j(M), \mathrm{Diff}^k(M)] \subset \mathrm{Diff}^{j+k-1}(M),$$

which follows by the Leibniz formula. Actually, pseudodifferential operators are characterized by the "almost-commuting" with differential operators:

Theorem 3.1 *Let $m \in \mathbb{R}$ and let $A : C^\infty(M) \to C^\infty(M)$ be a linear operator. Then the following conditions are equivalent:*

(i) $A \in \Psi^m(M)$.

(ii) *For any $s \in \mathbb{R}$ and for any sequence $\mathcal{D} = (D_j)_{j=0}^\infty \subset \mathrm{Diff}^1(M)$, it holds that*

$$\begin{cases} A_0 = A \in \mathcal{L}(H^s(M), H^{s-m}(M)), \\ A_{k+1} = [A_k, D_k] \in \mathcal{L}(H^s(M), H^{s-m+d_{\mathcal{D},k}}(M)), \end{cases}$$

where $d_{\mathcal{D},k} = \sum_{j=0}^k (1 - \deg(D_j))$.

The following auxiliary result can easily be generalized to smooth paracompact manifolds:

Lemma 3.1 *Let M be a closed smooth manifold. Then there exists a smooth partition of unity with respect to a cover $\mathcal{U}$ on M such that $U \cup V$ is a chart neighbourhood whenever $U, V \in \mathcal{U}$.*

Proof. Let $\mathcal{V}$ be a cover of M with chart neighbourhoods. Since M is a compact metrizable space by Whitney Imbedding Theorem [10], the cover $\mathcal{V}$ has the Lebesgue number $\lambda > 0$ — i.e. if $S \subset M$ has a small diameter, $\mathrm{diam}(S) < \lambda$, then there exists $V \in \mathcal{V}$ such that $S \subset V$. Let $\mathcal{W}$ be a cover of M with chart neighbourhoods of diameter less than $\lambda/2$, and choose a finite subcover $\mathcal{U} \subset \mathcal{W}$. Now there exists a smooth partition of unity on M with respect to $\mathcal{U}$, and if $U, V \in \mathcal{U}$ intersect, then $\mathrm{diam}(U \cup V) < \lambda$. On the other hand, if $U \cap V = \emptyset$, then $U \cup V$ is clearly a chart neighbourhood. □

Proof of Theorem 3.1. $((i) \Rightarrow (ii))$ Assume that $A \in \Psi^m(M)$. Lemma 3.1 provides a smooth partition of unity $\{(U_j, \phi_j)\}_{j=1}^N$ such that $U_i \cup U_j$ is always a chart neighbourhood, so that the study can be localized:

$$A = \sum_{i=1}^{N} \sum_{j=1}^{N} \phi_i A \phi_j .$$

Let $(U_i \cup U_j, \kappa_{ij})$ be a chart. Now $\phi_i, \phi_j \in C_0^\infty(U_i \cup U_j)$, so that the κ_{ij}-transfer $(\phi_i A \phi_j)_{\kappa_{ij}}$ is a pseudodifferential operator of order m on $\mathbb{R}^n$, hence belonging to $\mathcal{L}(H^s(\mathbb{R}^n), H^{s-m}(\mathbb{R}^n))$. Thereby $\phi_i A \phi_j = ((\phi_i A \phi_j)_{\kappa_{ij}})_{\kappa_{ij}^{-1}}$ belongs to $\mathcal{L}(H^s(M), H^{s-m}(M))$, and consequently $A \in \mathcal{L}(H^s(M), H^{s-m}(M))$. Thus we have the result $\Psi^m(M) \subset \mathcal{L}(H^s(M), H^{s-m}(M))$.

In order to get (ii), also inclusions $[\Psi^m(M), \mathrm{Diff}^1(M)] \subset \Psi^m(M)$ and $[\Psi^m(M), \mathrm{Diff}^0(M)] \subset \Psi^{m-1}(M)$ must be proven. Let $A \in \Psi^m(M)$ and $D \in \mathrm{Diff}^1(M)$, and fix an arbitrary chart (U, κ) and arbitrary functions $\phi, \psi \in C_0^\infty(U)$. By a direct calculation,

$$\phi[A, D]\psi = [\phi A \psi, D] - \phi A[\psi, D] - [\phi, D] A \psi,$$

so that

$$(\phi[A, D]\psi)_\kappa = [(\phi A \psi)_\kappa, D_\kappa] - (\phi A[\psi, D])_\kappa - ([\phi, D] A \psi)_\kappa .$$

Because $A \in \Psi^m(M)$, Theorem 2.1 implies that the operators on the right-hand-side of the previous equality are pseudodifferential operators of order $m - (1 - \deg(D))$ on $\mathbb{R}^n$. Therefore $[A, D] \in \Psi^{m-(1-\deg(D))}(M)$, proving implication $(i) \Rightarrow (ii)$.

$((ii) \Rightarrow (i))$ Let $A : C^\infty(M) \to C^\infty(M)$ satisfy condition (ii), and fix a chart (U, κ) on M and $\phi, \psi \in C_0^\infty(U)$. To get (i), we have to prove that $(\phi A \psi)_\kappa \in \mathrm{Op} S^m(\mathbb{R}^n)$, which by Theorem 2.1 follows, if we can prove the following variant of condition (ii):

$(ii)'$ *For any* $s \in \mathbb{R}$ *and for any sequence* $\mathcal{C} = (C_j)_{j=0}^{\infty} \subset \mathrm{Op}S^1_{loc}(\mathbb{R}^n)$ *of partial differential operators, it holds that*

$$\begin{cases} B_0 = (\phi A \psi)_\kappa \in \mathcal{L}(H^s(\mathbb{R}^n), H^{s-m}(\mathbb{R}^n)), \\ B_{k+1} = [B_k, C_k] \in \mathcal{L}(H^s(\mathbb{R}^n), H^{s-m+d_{\mathcal{C},k}}(\mathbb{R}^n)), \end{cases}$$

where $d_{\mathcal{C},k} = \sum_{j=0}^{k}(1 - \deg(C_j))$.

Indeed, $B_0 = (\phi A \psi)_\kappa \in \mathcal{L}(H^s(\mathbb{R}^n), H^{s-m}(\mathbb{R}^n))$ by (ii). Let $\chi \in C_0^\infty(\kappa(U))$ such that $\chi(x) = 1$ in a neighbourhood of the compact set $\mathrm{supp}(\phi_\kappa) \cup \mathrm{supp}(\psi_\kappa) \subset \mathbb{R}^n$. Then define $\mathcal{D} = (D_j)_{j=0}^{\infty} \subset \mathrm{Diff}^1(M)$ so that $D_j|_{M\setminus U} = 0$, and $D_j|_U = (\chi C_j)_{\kappa^{-1}}$. Then $d_{\mathcal{D},k} \geq d_{\mathcal{C},k}$, and due to condition (ii), we get

$$\begin{aligned} B_{k+1} &= [B_k, C_k] = [B_k, \chi C_k] = [(B_k)_{\kappa^{-1}}, D_k]_\kappa \\ &\in \mathcal{L}(H^s(\mathbb{R}^n), H^{s-m+d_{\mathcal{D},k}}(\mathbb{R}^n)) \\ &\subset \mathcal{L}(H^s(\mathbb{R}^n), H^{s-m+d_{\mathcal{C},k}}(\mathbb{R}^n)), \end{aligned}$$

verifying $(ii)'$. Hence $A \in \Psi^m(M)$. □

The pseudodifferential operators on M form a $*$-algebra

$$\Psi(M) = \bigcup_{m\in\mathbb{R}} \Psi^m(M),$$

where $\Psi^m(M) \subset \mathcal{L}(H^s(M), H^{s-m}(M))$. It is true that $\mathrm{Diff}^k(M) \subset \Psi^k(M)$, and $\Psi(M)$ has properties analogous to those of the algebra $\mathrm{Diff}(M)$. Especially, $[\Psi^{m_1}(M), \Psi^{m_2}(M)] \subset \Psi^{m_1+m_2-1}(M)$.

4 Periodic pseudodifferential operators

On the torus $\mathbb{T}^n = \mathbb{R}^n/\mathbb{Z}^n$ one has a well-defined global symbol analysis of so called periodic pseudodifferential operators (see [22], [20], [21]). As it was mentioned in the introduction, this set of operators is known to equal $\Psi(\mathbb{T}^n)$. In this section, we provide a new proof of this fact by applying Theorem 3.1. To get a good comprehension about the periodic pseudodifferential calculus, it is advisable to compare the material in this section to the pseudodifferential calculus in Section 2.

Let $\{e_\xi : \mathbb{T}^n \to \mathbb{C} \mid \xi \in \mathbb{Z}^n,\ e_\xi(x) = e^{i2\pi x\cdot\xi}\}$ be the basis of the space of trigonometric polynomials, $\mathrm{TrigPol} = \mathrm{TrigPol}(\mathbb{T}^n)$, which is dense in the test function space $C^\infty = \mathcal{D}(\mathbb{T}^n)$ with the usual Fréchet space topology. The Fourier transform of a test function $u \in C^\infty$ is the function $\hat{u} : \mathbb{Z}^n \to \mathbb{C}$ defined by

$$\hat{u}(\xi) = \int_{\mathbb{T}^n} u(x)\overline{e_\xi(x)}dx.$$

The Fourier transform is generalized to the distribution dual, and the inner product for the Sobolev space $H^s = H^s(\mathbb{T}^n)$ $(s \in \mathbb{R})$ is

$$(u, v)_{H^s} = \sum_{\xi\in\mathbb{Z}^n} (1 + |\xi|)^{2s}\hat{u}(\xi)\overline{\hat{v}(\xi)}.$$

The associated Sobolev norm is $\|u\|_{H^s} = (u,u)_{H^s}^{1/2}$.

The symbol of a linear operator $A : \mathrm{TrigPol} \to C^\infty$ is defined by

$$\sigma_A(x,\xi) = e^{-i2\pi x\cdot\xi}(Ae_\xi)(x). \tag{5}$$

The mapping σ can be viewed as an additive group homomorphism: $\sigma_{A+B} = \sigma_A + \sigma_B$, but usually $\sigma_{AB} \neq \sigma_A\sigma_B$. It should be emphasized that the symbol of a linear operator is unique ($A = 0 \Rightarrow \sigma_A(x,\xi) = 0$). Conversely, a smooth function $\sigma : \mathbb{T}^n \times \mathbb{Z}^n \to \mathbb{C}$ defines a linear operator $\mathrm{Op}(\sigma) : \mathrm{TrigPol} \to C^\infty$ by

$$\mathrm{Op}(\sigma)u(t) = \sum_{\xi\in\mathbb{Z}^n} \sigma(x,\xi)\hat{u}(\xi)e^{i2\pi x\cdot\xi}. \tag{6}$$

By (5) and (6), σ is the symbol of $\mathrm{Op}(\sigma)$.

A function $\sigma : \mathbb{T}^n \times \mathbb{Z}^n \to \mathbb{C}$ is called a symbol of order $m \in \mathbb{R}$, $\sigma \in S^m = S^m(\mathbb{T}^n)$, if it is a C^∞-smooth function in the first argument and if it satisfies the following symbol inequalities for every $x \in \mathbb{T}^n$ and $\xi \in \mathbb{Z}^n$:

$$\forall\alpha,\beta \in \mathbb{N}_0\ \exists C_{\alpha\beta} \in \mathbb{R} : \left|\triangle_\xi^\alpha \partial_x^\beta \sigma(x,\xi)\right| \leq C_{\alpha\beta}\ (1+|\xi|)^{m-|\alpha|}, \tag{7}$$

where $|\xi| = (\sum_{j=1}^n |\xi_j|^2)^{1/2}$ for $\xi \in \mathbb{Z}^n$, and $|\alpha| = \sum_{j=1}^n \alpha_j$ for $\alpha \in \mathbb{N}_0^n$. The partial difference operator $\triangle_\xi^\alpha = \triangle_{\xi_1}^{\alpha_1}\cdots\triangle_{\xi_n}^{\alpha_n}$ is defined by

$$(\triangle_{\xi_j} f)(\xi) = f(\xi+\delta_j) - f(\xi),$$

where $\delta_j = (\delta_{jk})_{k=1}^n \in \mathbb{Z}^n$, and δ_{jk} is the Kronecker delta. The corresponding operator $\mathrm{Op}(\sigma)$ given by (6) is then called a periodic pseudodifferential operator of order m, $\mathrm{Op}(\sigma) \in \mathrm{Op}S^m = \mathrm{Op}S^m(\mathbb{T}^n)$.

Difference operators resemble differential operators closely. For instance, the discrete Leibniz formula holds:

$$\triangle_\xi^\alpha(uv)(\xi) = \sum_{\gamma\leq\alpha} \binom{\alpha}{\gamma} (\triangle_\xi^\gamma u(\xi))\triangle_\xi^{\alpha-\gamma} v(\xi+\gamma), \tag{8}$$

where $u, v : \mathbb{Z}^n \to \mathbb{C}$. Notice the shift by γ in the argument of v.

We are going to prove that $\mathrm{Op}S^m(\mathbb{T}^n) = \Psi^m(\mathbb{T}^n)$. We start by showing the continuity of the periodic pseudodifferential operators between Sobolev spaces, without resorting to pseudodifferential calculus on $\mathbb{R}^n$. This is a piece of folk-lore in the field, but since we cannot give any reference other than [22] or [20], we present a proof here. The proof in [22] is of another type. The reader should notice the similarities between our treatment of Theorem 4.1 on $\mathbb{T}^n$ and the corresponding proof on $\mathbb{R}^n$ in [19].

Theorem 4.1 *Let $A \in \mathrm{Op}S^m(\mathbb{T}^n)$, $s \in \mathbb{R}$. Then $A \in \mathcal{L}(H^s(\mathbb{T}^n), H^{s-m}(\mathbb{T}^n))$.*

We state two lemmata without proofs:

Lemma 4.1 (Discrete Young inequality) *Assume that $h : \mathbb{Z}^n \times \mathbb{Z}^n \to \mathbb{C}$ is a function satisfying*

$$C_1 = \sup_{\xi \in \mathbb{Z}^n} \sum_{\eta} |h(\eta, \xi)| < \infty, \quad C_2 = \sup_{\eta \in \mathbb{Z}^n} \sum_{\xi} |h(\eta, \xi)| < \infty.$$

For any sequence $f \in \ell^p = \ell^p(\mathbb{Z}^n)$ $(1 \le p \le \infty)$ let us define the function $g : \mathbb{Z}^n \to \mathbb{C}$ by $g(\eta) = \sum_\xi h(\eta, \xi) f(\xi)$. Then

$$\|g\|_{\ell^p} \le C_1^{1/p} C_2^{1/q} \|f\|_{\ell^p},$$

where q is the conjugate exponent of p.

Lemma 4.2 *Assume that $\sigma \in S^m(\mathbb{T}^n)$, and let $\hat{\sigma}$ be its Fourier transform with respect to the first argument. Then for every $\alpha \in \mathbb{N}_0^n$ and $r \in \mathbb{N}_0$,*

$$\left| \triangle_\xi^\alpha \hat{\sigma}(\eta, \xi) \right| \le c_{r,\alpha} \ (1 + |\eta|)^{-r} (1 + |\xi|)^{m - |\alpha|}.$$

Proof of Theorem 4.1. First we calculate the Fourier coefficients of Au, where $u \in C^\infty$:

$$\begin{aligned} Au(x) &= \sum_\xi \sigma_A(x, \xi) \hat{u}(\xi) e^{i2\pi x \cdot \xi} = \sum_\xi \left[\sum_\eta \hat{\sigma}_A(\eta, \xi) e^{i2\pi x \cdot \eta} \right] \hat{u}(\xi) e^{i2\pi x \cdot \xi} \\ &= \sum_\eta \left[\sum_\xi \hat{\sigma}_A(\eta - \xi, \xi) \hat{u}(\xi) \right] e^{i2\pi x \cdot \eta}. \end{aligned}$$

In the subsequent estimation process for $\|Au\|_{H^{s-m}}$ the following steps are taken: we move the absolute value under the summation over ξ, and then we successively apply the inequalities of Peetre (4) and Young (Lemma 4.1 with $p = q = 2$, $h(\eta, \xi) = (1 + |\eta - \xi|)^{|s-m|} (1 - |\xi|)^{-m} |\hat{\sigma}_A(\eta - \xi, \xi)|$ and $f(\xi) = (1 + |\xi|)^s |\hat{u}(\xi)|$), and Lemma 4.2 (with $|\alpha| = 0$), in this order, yielding $\|Au\|_{H^{s-m}} \le C \|u\|_{H^s}$.

$$\begin{aligned} \|Au\|_{H^{s-m}}^2 &= \sum_\eta (1 + |\eta|)^{2(s-m)} |\widehat{Au}(\eta)|^2 \\ &= \sum_\eta \left| \sum_\xi (1 + |\eta|)^{s-m} \hat{\sigma}_A(\eta - \xi, \xi) \hat{u}(\xi) \right|^2 \\ &\le \sum_\eta \left[\sum_\xi (1 + |\eta|)^{s-m} |\hat{\sigma}_A(\eta - \xi, \xi)| |\hat{u}(\xi)| \right]^2 \\ &\le 2^{2|s-m|} \sum_\eta \Bigg[\sum_\xi (1 + |\eta - \xi|)^{|s-m|} (1 + |\xi|)^{-m} |\hat{\sigma}_A(\eta - \xi, \xi)| \\ &\quad \cdot (1 + |\xi|)^s |\hat{u}(\xi)| \Bigg]^2 \end{aligned}$$

$$
\begin{aligned}
&\leq 2^{2|s-m|}\left[\sup_{\eta}\sum_{\xi}(1+|\eta-\xi|)^{|s-m|}(1+|\xi|)^{-m}|\hat{\sigma}_A(\eta-\xi,\xi)|\right]\\
&\quad\left[\sup_{\xi}\sum_{\eta}(1+|\eta-\xi|)^{|s-m|}(1+|\xi|)^{-m}|\hat{\sigma}_A(\eta-\xi,\xi)|\right]\\
&\quad\left[\sum_{\xi}(1+|\xi|)^{2s}|\hat{u}(\xi)|^2\right]\\
&\leq 2^{2|s-m|}\left[\sup_{\eta}\sum_{\xi}c_{r,0}(1+|\eta-\xi|)^{|s-m|-r}\right]\\
&\quad\left[\sup_{\xi}\sum_{\eta}c_{r,0}(1+|\eta-\xi|)^{|s-m|-r}\right]\|u\|^2_{H^s}\\
&= 2^{2|s-m|}\left[c_{r,0}\sum_{\eta}(1+|\eta|)^{|s-m|-r}\right]^2\|u\|^2_{H^s}.
\end{aligned}
$$

The desired extension on H^s is obtained by boundedness. □

In analogy with the pseudodifferential analysis on $\mathbb{R}^n$, let us define the transformations $L^\beta = L_1^{\beta_1}\cdots L_n^{\beta_n}$ and $R^\alpha = R_1^{\alpha_1}\cdots R_n^{\alpha_n}$ acting on PPDOs by $L_j(A) = [\partial_{x_j}, A]$ and $R_k(A) = [A, e^{i2\pi x_k}I]$. The symbols of these operators are

$$\sigma_{L_j(A)}(x,\xi) = \partial_{x_j}\sigma_A(x,\xi),$$

and respectively

$$\sigma_{R_k(A)}(x,\xi) = e^{i2\pi x_k}\triangle_{\xi_k}\sigma_A(x,\xi).$$

The minor asymmetry in the latter symbol caused by $e^{i2\pi x_k}$ is due to the nature of (forward) differences. The commutators are applied in the proof of the main theorem about the periodic pseudodifferential operators:

Theorem 4.2 *The classes of periodic pseudodifferential operators and pseudodifferential operators on $\mathbb{T}^n$ coincide. More precisely, for any $m \in \mathbb{R}$ it holds that*

$$\mathrm{Op}S^m(\mathbb{T}^n) = \Psi^m(\mathbb{T}^n).$$

Proof. Let us first prove the inclusion $\mathrm{Op}S^m(\mathbb{T}^n) \subset \Psi^m(\mathbb{T}^n)$. We know by Theorem 4.1 that $\mathrm{Op}S^m \subset \mathcal{L}(H^s, H^{s-m})$. Therefore by Theorem 3.1, it suffices to verify that $[\mathrm{Op}S^m, \mathrm{Diff}^1(\mathbb{T}^n)] \subset \mathrm{Op}S^m$ and that $[\mathrm{Op}S^m, \mathrm{Diff}^0(\mathbb{T}^n)] \subset \mathrm{Op}S^{m-1}$. This is true due to the asymptotic expansion of the composition of two periodic pseudodifferential operators (see [21]). However, we present a brief independent proof of the inclusion $[\mathrm{Op}S^m, \mathrm{Diff}^1(\mathbb{T}^n)] \subset \mathrm{Op}S^m$. Let $A \in \mathrm{Op}S^m(\mathbb{T}^n)$ and let $X \in \mathrm{Diff}^1(\mathbb{T}^n)$, $X_x = \phi(x)\partial_{x_k}$ $(1 \leq k \leq n)$. Now

$$
\begin{aligned}
\sigma_{[A,X]}(x,\xi) &= i2\pi\xi_k\sum_{\eta}[\sigma_A(x,\xi+\eta)-\sigma_A(x,\xi)]\hat{\phi}(\eta)e^{i2\pi x\cdot\eta}\\
&\quad -\phi(x)(\partial_{x_k}\sigma_A)(x,\xi).
\end{aligned}
$$

Notice that

$$\sigma_A(x,\xi+\eta)-\sigma_A(x,\xi)=$$
$$\sum_{j=1}^{n}\sum_{\omega_j=(\mathrm{sgn}(\eta_j)-1)/2}^{\eta_j-(\mathrm{sgn}(\eta_j)+1)/2}\mathrm{sgn}(\eta_j)\triangle_{\xi_j}\sigma_A(x,\xi+\eta_1\delta_1+\cdots+\eta_{j-1}\delta_{j-1}+\omega_j\delta_j),$$

where

$$\mathrm{sgn}(\eta_j)=\begin{cases}1, & \eta_j>0,\\ 0, & \eta_j=0,\\ -1, & \eta_j<0,\end{cases}$$

and there are at most $\sum_j|\eta_j|<\sqrt{n}(1+|\eta|)$ non-zero terms in the sum. Hence, applying the ordinary Leibniz formula with respect to x, the discrete Leibniz formula (8) with respect to ξ, the inequality of Peetre (4) and Lemma 4.2, we get

$$\begin{aligned}&|\triangle_\xi^\alpha\partial_x^\beta\sigma_{[A,X]}(x,\xi)|\\ &\le C_{\alpha\beta,\phi,r}(1+|\xi|)\sum_\eta(1+|\xi|)^{m-(|\alpha|+1)}\sqrt{n}(1+|\eta|)^{|m-(|\alpha|+1)|+|\beta|+1-r}\\ &\quad+C_{\alpha\beta,\phi}(1+|\xi|)^{m-|\alpha|}.\end{aligned}$$

By choosing $r>|m-(|\alpha|+1)|+|\beta|+2$, the series above converges, so that

$$|\triangle_\xi^\alpha\partial_x^\beta\sigma_{[A,X]}(x,\xi)|\le C_{\alpha\beta}(1+|\xi|)^{m-|\alpha|}.$$

Hence $[A,X]\in\mathrm{Op}S^m(\mathbb{T}^n)$. Similarly, but with less effort, one proves that $[\mathrm{Op}S^m,\mathrm{Diff}^0(\mathbb{T}^n)]\subset\mathrm{Op}S^{m-1}$. Thus $A\in\Psi^m(\mathbb{T}^n)$.

Now assume that $A\in\Psi^m(\mathbb{T}^n)$; we have to prove that σ_A satisfies inequalities (7). Let us define transformation $\widetilde{R}_k$ by $\widetilde{R}_k(A)=e^{-i2\pi x_k}R_k(A)$, and set $\widetilde{R}^\alpha=\widetilde{R}_1^{\alpha_1}\cdots\widetilde{R}_n^{\alpha_n}$, so that

$$\triangle_\xi^\alpha\partial_x^\beta\sigma_A(x,\xi)=\sigma_{\widetilde{R}^\alpha L^\beta(A)}(x,\xi).$$

By Theorem 3.1, $\widetilde{R}^\alpha L^\beta(A)\in\mathcal{L}(H^{m-|\alpha|},H^0)$. Notice that

$$\begin{aligned}|u(x)| &\le \sum_\xi|\hat{u}(\xi)|\le\left[\sum_\xi(1+|\xi|)^{-2s}\right]^{1/2}\left[\sum_\xi(1+|\xi|)^{2s}|\hat{u}(\xi)|^2\right]^{1/2}\\ &= C_s\|u\|_{H^s}\le C_s\left(\sum_{|\gamma|\le s}\|\partial_x^\gamma u\|_{H^0}^2\right)^{1/2},\end{aligned}$$

where $s\in\mathbb{N}$ satisfies $2s>n=\dim(\mathbb{T}^n)$. Using this we get

$$\left|\triangle_\xi^\alpha\partial_x^\beta\sigma_A(x,\xi)\right|\le C\left(\sum_{|\gamma|\le s}\|\triangle_\xi^\alpha\partial_x^{\beta+\gamma}\sigma_A(\cdot,\xi)\|_{H^0}^2\right)^{1/2}$$

$$= C \left(\sum_{|\gamma| \leq s} \| e_{-\xi} \widetilde{R}^{\alpha} L^{\beta+\gamma}(A) e_{\xi} \|^2_{H^0} \right)^{1/2}$$

$$\leq C \left(\sum_{|\gamma| \leq s} \| e_{-\xi} I \|^2_{\mathcal{L}(H^0)} \| \widetilde{R}^{\alpha} L^{\beta+\gamma}(A) \|^2_{\mathcal{L}(H^{m-|\alpha|}, H^0)} \| e_{\xi} \|^2_{H^{m-|\alpha|}} \right)^{1/2}$$

$$\leq C_{\alpha\beta} \ (1 + |\xi|)^{m-|\alpha|}.$$

□

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